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Synopsis. The yellow keto lactam and the blue dimeric pigment were formed by the autoxidation of indolo[3,2,1-de]-[1,5]naphthyridines.

Autoxidation of indole compounds gave various products via indoleninyl hydroperoxides.¹⁾ The well known conversion of indoxyl into indigo and the synthesis of trichotomine dimethyl ester $(\tilde{3})^{2,3)}$ prompted us to study the autoxidation of indolo[3,2,1-de][1,5]naphthyridines 2c and 7.

L-Tryptophan was condensed with 2-oxoglutaric acid to give 1a and 2a,4) which were converted into 1b5) and 2b with methanolic hydrogen chloride. proton at C-3a in 2b was suggested to have the same β -configuration as the corresponding proton in 1b had, since the CD spectrum of **2b** ($[\theta]_{221}$ -28800) was similar to that of **1b** ($[\theta]_{232}$ –22600).⁶⁾ When **1b** (mp 202–203 °C) was heated at 205–208

°C for 30 min, it changed into blue oil. Separation of the colored product afforded the blue pigment 3 (1%), which was identical with 3 synthesized by the procedure of Iwadare et al. (TLC, IR, and ¹H NMR).²

Similarly, 2b was heated above its melting point, but no blue spot was detected on the TLC. In order to protect the NH group, 2b was converted into the acetate 2c. When 2c was heated at 168—172 °C for 30 min, it changed into green oil, which showed the yellow and blue spots on the TLC. Separation of the colored products gave the yellow compound 4 and the blue pigment 5 in very low yields.

On the other hand, pyridinium dichromate oxidation of 2c in N,N-dimethylformamide (20°C, 18 h) afforded 4 (20%), which showed the same R_f value on the TLC as 4 obtained above. The structure of 4 was in agreement wih the spectral data: UV 402 nm (ε 11400); ¹H NMR ABX signals of C-1 and C-2 protons and a singlet of C-4 proton at δ =6.49; ¹³C NMR signals of four carbonyl carbons at δ =152.1, 169.8, 170.6, and 177.6.

The dimeric structure 5 was suggested for the blue pigment, since the coloration of 1b above its melting point resulted from the formation of 3. In order to confirm the structure, 5 was synthesized as follows.

Shono et al. reported the α -methoxylation of carbamates by electrolysis.7) Since 2c showed the anodic peak at 1.57 V vs. SCE in the cyclic voltammetry, it was electrolyzed at 1.3 V vs. SCE in methanol to give 6, which showed a singlet at $\delta=3.00$ (3H, OCH₃) in the ¹H NMR spectrum. Treatment of **6** with formic acid in chloroform under argon afforded 7. When a colorless solution of 7 in 1-butanol was stirred at room temperature for 47 h, it became blue. Separation of the products gave 4 (6%) and 5 (12%), the latter of which was identical with that obtained by the autoxidation of 2c (TLC, IR). The structure of 5 was in line with the spectral data: UV 648 nm (ε 38700); ¹H NMR ABX signals of C-1 and C-2 protons and a singlet of C-4 proton at δ =8.57; MS m/z 644 (M⁺).

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The autoxidation of 2c at elevated temperature might proceed via 7. Formation of 4 and 5 from 7 seemed to proceed via the plausible intermediate 8, since the autoxidation of 7 is similar to that of indoxyl.8)

Experimental

All melting points were uncorrected. IR spectra were recorded on a Hitachi EPI-G₃ in CHCl₃. ¹H NMR spectra were obtained on a Varian EM-390 (90 MHz) in CDCl₃, and $^{13}\mathrm{C\,NMR}$ on a JNM-PFT-60 (15 MHz) or a JNM-FX-99 (22.5 MHz) in CDCl₃. UV spectra were measured on a JASCO UVIDEC-510 in CH₃OH, and CD spectra on a JASCO J-500 in CH₃OH. Mass spectra were obtained on a Hitachi M-52 or M-80 operating with an ionization energy (70 eV). The cyclic voltammetry of 2c was recorded on a Yanaco P-1100 in acetonitrile containing tetrabutylammonium perchlorate $(0.10 \text{ mol dm}^{-3})$. Preparative and analytical TLC were carried out on silica-gel plates (Kieselgel 60 F₂₅₄, E. Merk).

Preparation of 2b. According to the literature, 4) a solution of L-tryptophan (25.0 g) and 2-oxoglutaric acid in 4 mol dm⁻³ hydrochloric acid (250 ml) was heated at 87—91 °C for 2 h. The precipitate was collected and dried. A suspension of the precipitate in 4 wt% hydrogen chloride-CH₃OH (500 ml) was refluxed for 6 h and cooled to room temperature to give the hydrochloride of 2b (13.1 g), which was freed with aqueous NaHCO₃ and crystallized from CH₃OH to give 2b: mp 154—156 °C; UV 240 (ε 20300), 264 (10400), 292 (4360), and 301 nm (4220); IR 1742 and 1710 cm⁻¹; ¹H NMR δ =1.5— 3.2 (7H, m), 3.81 (3H, s), 3.7—4.1 (2H, m), 7.1—7.5 (3H, m), and 8.32 (1H, m); ${}^{13}CNMR$ $\delta=24.9$, 28.9, 33.0, 50.2, 52.3, 56.6, 111.8, 116.1, 118.0, 124.0, 124.6, 129.4, 134.8, 135.7, 167.8, and 172.8; MS m/z 284 (M⁺); CD [θ]₃₀₀ -9600, [θ]₂₇₆ 0, $[\theta]_{267}$ +5100, $[\theta]_{253}$ 0, $[\theta]_{221}$ -28800, and $[\theta]_{211}$ 0. Anal. $(C_{16}H_{16}N_2O_3)$ C, H, N.

The mother liquor was concentrated. The residue was neutralized with aqueous NaHCO₃, followed by isolation with CHCl₃ to give **1b** (3.9 g).⁵⁾

Preparation of 2c. A solution of **2b** (0.91 g) in acetic anhydride (8 ml) and pyridine (8 ml) was allowed to stand at 11-13 °C for 18 h, and concentrated. The residue was crystallized from CH₃OH to give **2c** (1.0 g): mp 168–169 °C; [α]_D +165° (c 0.185, CH₃OH); UV 240 (ε 20800), 264 (10700), 292 (4620), and 300 nm (4480); IR 1747, 1713, 1659, and 1644 cm⁻¹; ¹H MNR δ=2.25 (3H, s), and 3.53 (3H, s); ¹³C NMR δ=22.7, 23.9, 27.1, 33.4, 49.2, 52.6, 56.2, 110.5, 116.2, 118.2, 124.1, 124.9, 128.5, 133.0, 135.2, 167.8, and 170.8; MS m/z 326 (M⁺); CD [θ]₃₀₁ -8300, [θ]₂₈₁ 0, [θ]₂₆₈ +17800, [θ]₂₅₅ +10400, [θ]₂₅₀ +15000, [θ]₂₄₃ 0, [θ]₂₂₁ -53500, and [θ]₂₁₀ 0. Anal. (C₁₈H₁₈N₂O₄) C, H, N.

Autoxidation of 1b. Colorless crystals of 1b (103 mg) were placed in a 100 ml Erlenmeyer flask and heated at 205—208 °C for 30 min, open to the atmosphere. Separation of the resulting blue oil with column chromatography afforded 3²) (1 mg) in addition to 1b (77 mg).

Autoxidation of 2c. Colorless crystals of 2c (104 mg) were placed in a 100 ml Erlenmeyer flask and heated at 168—172 °C for 30 min, open to the atmosphere. The resulting green oil showed the yellow spot of 4 (R_f 0.59), the blue spot of 5 (R_f 0.74), and the spot of 2c (R_f 0.45) on TLC (ethyl acetate). Separation with column and thin-layer chromatography afforded 4 (<0.1 mg) and 5 (<0.3 mg), respectively, in addition to 2c (83 mg).

Oxidation of 2c with Pyridinium Dichromate. solution of 2c (98 mg) in DMF (4 ml) was added PDC (1.7 g). The mixture was stirred at 20 °C for 18 h, and poured into water. Isolation in a usual way, purification with column chromatography, and crystallization with CHCl3-hexane afforded 4 (20 mg) in addition to the starting material 2c (12 mg). 4: mp 188—190 °C; UV 211 (ε 35400), 248 (12600), 275 (13400), 324 (10600), and 402 nm (11400); IR 1745, 1722, 1697, 1663, 1615, and 1606 cm⁻¹; ¹H NMR δ=2.49 (3H, s), 3.22 (1H, dd, J=18.0 and 6.0 Hz), 3.66 (1H, dd, J=18.0 and 1.8 Hz), 3.71(3H, s), 5.79 (1H, dd, J=6.0 and 1.8 Hz), 6.49 (1H, s), 7.2—7.7 (3H, m), and 8.33 (1H, m); 13 C NMR $\delta = 23.4$ (t), 24.7 (q), 53.4 (q), 55.4 (d), 112.3 (d), 117.0 (d), 121.5 (d), 122.7 (s), 125.9 (d), 127.7 (s), 129.7 (d), 138.6 (s), 143.7 (s), 152.1 (s), 169.8 (s), 170.6 (s), and 177.6 (s). Found: C, 63.79; H, 4.23; N, 7.94%. Calcd for C₁₈H₁₄N₂O₅: C, 63.90; H, 4.17; N, 8.28%.

Anodic Oxidation of 2c. A mixture of 2c (74 mg), tetrabutylammonium tetrafluoroborate (330 mg), and CH₃OH (80 ml) was placed in a beaker-type undivided cell, and glassy carbon rods were used as an anode and a cathode, respectively. After electrolysis at constant potential of 1.3 V

vs. SCE (electricity: ca. $3 \, \mathrm{F} \, \mathrm{mol}^{-1} \, (1 \, \mathrm{F} = 96480 \, \mathrm{C}))$, the electrolytic solution was concentrated. The residue was dissolved in benzene, washed with water, and dried over $\mathrm{Na_2SO_4}$. Evaporation of the solvent and crystallization from $\mathrm{CH_3OH}$ gave **6** (45 mg): mp $130-134\,^{\circ}\mathrm{C}$; UV 238 (ε 21200), 263 (11500), 292 (6200), and 302 nm (6570); IR 1749, 1716, 1661, and 1643 cm⁻¹; $^{1}\mathrm{H} \, \mathrm{NMR} \, \delta = 2.50 \, (3\mathrm{H}, \, \mathrm{s})$, 2.6—3.5 (4H, m), 3.00 (3H, s), 3.07 (1H, dd, $J = 16.8 \, \mathrm{and} \, 5.7 \, \mathrm{Hz}$), 3.50 (1H, dd, $J = 16.8 \, \mathrm{and} \, 2.1 \, \mathrm{Hz}$), 3.57 (3H, s), 5.85 (1H, m), 7.2—7.6 (3H, m), and 8.43 (1H, m); $^{13}\mathrm{C} \, \mathrm{NMR} \, \delta = 22.8 \, (\mathrm{t})$, 24.0 (q), 31.5 (t), 33.3 (t), 49.2 (q), 52.5 (q), 56.3 (d), 84.2 (s), 116.4 (s), 116.8 (d), 118.9 (d), 124.3 (d), 126.2 (d), 126.9 (s), 129.8 (s), 135.7 (s), 167.6 (s), 171.6 (s), and 173.0 (s). Found: m/z 356.1393. Calcd for $\mathrm{C_{19}H_{20}N_2O_5}$: M, 356.1371.

Treatment of 6 with HCOOH. To a solution of 6 (40 mg) in CHCl₃ (10 ml) was added 99% HCOOH (0.2 ml) under argon. The solution was kept at 20 °C for 24 h, washed with aqueous NaHCO₃, and dried over Na₂SO₄. Evaporation of the solvent gave 7 in almost quantitative yield. 7: IR 1743, 1703, 1659, and 1605 cm⁻¹; ¹H NMR δ=2.38 (3H, s), 3.06 (1H, dd, J=16.8 and 6.0 Hz), 3.51 (1H, d, J=16.8 Hz), 3.62 (3H+2H, s), 5.5—6.2 (2H, m), 7.2—7.6 (3H, m), and 8.37 (1H, m); ¹³C NMR δ=23.3, 23.4, 35.4, 52.8, 53.8, 110.3, 112.4, 116.3, 119.0, 124.6, 126.0, 126.5, 128.8, 134.8, 165.2, 170.0, and 170.6. Found: m/z 324.1096. Calcd for C₁₈H₁₆N₂O₄: M, 324.1108.

Without further purification, 7 was subjected to the next autoxidation.

Autoxidation of 7. A solution of **7**, obtained above, in 1-butanol (20 ml) was stirred at 15—20 °C for 47 h. The resulting blue solution was concentrated. Separation with column and thin layer chromatography afforded **4** (2.2 mg) and the blue oil, which was precipitated with CHCl₃ and hexane to give **5** (4.6 mg): UV 228 (ϵ 59600), 264 (22300), 302 (15200), 348 (12900), 607 (40500), and 648 nm (38700); IR 1742, 1671, 1615, and 1601 cm⁻¹; ¹H NMR δ =2.17 (1H×2, dd, J=18.0 and 6.0 Hz), 2.94 (3H×2, s), 3.20 (1H×2, d, J=18.0 Hz), 3.52 (3H×2, s), 5.87 (1H×2, d, J=6.0 Hz), 7.2—7.5 (3H×2, m), 8.38 (1H×2, m), and 8.57 (1H×2, s). ¹³C NMR δ =22.8, 24.6, 52.8, 53.7, 115.1, 116.3, 116.6, 120.2, 125.1, 127.1, 128.0, 128.3, 129.5, 130.8, 137.3, 159.9, 170.5, and 170.8; MS m/z 644 (M⁺). Found: m/z 644.1887. Calcd for $C_{36}H_{28}N_4O_8$: M, 644.1905.

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